SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287						
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] MAY PETER W				2. Issuer Name and Ticker or Trading Symbol <u>Mondelez International, Inc.</u> [MDLZ]						(Ch	5. Relationship of Repo (Check all applicable) X Director Officer (give tit			10% O				
(Last) (First) (Middle) 280 PARK AVENUE 41ST FLOOR				3. Date of Earliest Transaction (Month/Day/Year) 08/04/2020							belov		tie	belov	(specify /)			
(Street) NEW YORK NY 10017				4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check AppLine) Form filed by One Reporting Person Form filed by One Reporting Person X Form filed by More than One Report								rson						
(City)	(Si					rition		uiroc				or D	onoficio		ad			
Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year		2A. Deemed Execution Date,			3. Transaction Code (Instr.		4. Securities Acquir Disposed Of (D) (Ins		Acquire	ired (A) or		5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	v	Amo	unt	(A) or (D)	Pric	e	Reported Transacti (Instr. 3 a	on(s)			
Class A (Common St	ock	08/04/2020				S ⁽¹⁾		482	2,778	D	\$5	5.6096 ⁽²⁾	14,310	0,014	1	[Please see explanation pelow ⁽³⁾⁽⁴⁾
Class A Common Stock			08/05/2020				S ⁽¹⁾		132	2,219	D	\$5	5.5459 ⁽⁵⁾	14,17	7,795	1	[Please see explanation pelow ⁽³⁾⁽⁴⁾
Class A Common Stock 08/06/202			08/06/2020				S ⁽¹⁾		233	8,136	D	\$5	5.5024 ⁽⁶⁾	13,944	4,659	1	[Please see explanation pelow ⁽³⁾⁽⁴⁾
Class A (Common St	ock												12,7	27	I)	
		Tal	ole II - Derivati (e.g., pu	ive Se	ecurit alls. v	ties /	Acqui ants.	ired,	Disp	osed o	of, or rtible	Bei	neficiall	y Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3. Transaction 3A. Deemed Execution Date	4. 5. Nu Transaction of Code (Instr. Deriv		Imber Antive frities fritie		e Exercisable and tion Date h/Day/Year)		nd 7 A S L S	7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(: (Instr. 4)		10. Ownersh Form: Direct (D or Indire (I) (Instr.	Beneficia Ownersh t (Instr. 4)	
				Code	v	(A)	(D)	Date Exerci	isable	Expirat Date			Amount or Number of Shares					

1. Name and Address of Reporting Person*

MAY PETER W

(Last)	(First)	(Middle)					
280 PARK AVE	NUE						
41ST FLOOR							
(Street)							
NEW YORK	NY	10017					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person* TRIAN FUND MANAGEMENT, L.P.							
(Last)	(First)	(Middle)					

280 PARK AVENUE, 41ST FLOOR 41ST FLOOR

(Street)

NEW YORK	NY	10017			
(City)	(State)	(Zip)			

Explanation of Responses:

1. The sales reported in this Form 4 were done for portfolio management purposes.

2. The price shown in Column 4 is a weighted average sale price. The price range for the sale is \$55.50 - \$55.83. The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

3. Trian Fund Management, L.P. ("Trian Management") serves as the management company for Trian Partners, L.P., Trian Partners Master Fund, L.P., Trian Partners Parallel Fund I, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Investment Fund-N, L.P., Trian Partners Fund (Sub)-G, L.P., Trian Partners Strategic Fund-G III, L.P., Trian Partners Strategic Fund-G III, L.P., Trian Partners Strategic Fund-G III, L.P., Trian Partners Strategic Investment and voting decisions of the Trian Entities") and as such determines the investment and voting decisions of the Trian Entities with respect to the shares of Mondelez International, Inc. held by them. Mr. May is a member of Trian Fund Management GP, LLC, which is the general partner of Trian Management, and therefore is in a position to determine the investment and voting decisions made by Trian Management on its own behalf and on behalf of the Trian Entities.

4. (FN3, contd.) Accordingly, Mr. May may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Exchange Act of 1934) the shares beneficially owned by Trian Management and the Trian Entities and Trian Management may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Act of 1934) the shares beneficially owned by the Trian Entities. The Reporting Persons disclaim beneficial ownership of such shares except to the extent of their respective pecuniary interests therein and this report shall not be deemed an admission that the Reporting Persons are the beneficial owner of such securities of purposes of Section 16 or for any other purpose. Mr. May is a director of the Issuer.

5. The price shown in Column 4 is a weighted average sale price. The price range for the sale is \$55.50 - \$55.67. The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

6. The price shown in Column 4 is a weighted average sale price. The price range for the sale is \$55.50 - \$55.53. The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

Remarks:

Stacey L. Sayetta, Attorneyin-Fact for Peter W. May 08/06/2020

Stacey L. Sayetta, Attorney-

in-Fact for Peter W. May, member of the general partner 08/06/2020 of Trian Fund Management,

<u>L.P.</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.