SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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OMB APPROVAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) Mondelez International, Inc. [MDLZ] MAY PETER W X Director 10% Owner Officer (give title Other (specify (Middle) 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Last) (First) 05/27/2021 223 SUNSET AVENUE SUITE 223 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Form filed by One Reporting Person 33480 PALM BEACH FL Form filed by More than One Reporting Х Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date (Month/Day/Year) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 2A. Deemed 5. Amount of 7. Nature of Transaction ecurities Indirect Beneficial Execution Date, Beneficially if any (D) or Indirect Code (Instr. 5) (Month/Day/Year) 8) Owned Following (I) (Instr. 4) Ownership (Instr. 4) Reported (A) or (D) Transaction(s) (Instr. 3 and 4) Code v Amount Price Please see Class A Common Stock 05/27/2021 **I**(1) 8,622,102 748,730 D \$63.31 I explanation below⁽²⁾⁽³⁾ Class A Common Stock 15.983 D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction 6. Date Exercisable and 7. Title and 9. Number of 1. Title of 2 3A. Deemed 5. Number 8. Price of 10. 11. Nature Ownership Form: Conversion Execution Date, .. Transaction Expiration Date (Month/Day/Year) Amount of Securities Derivative Security derivative Securities of Indirect Beneficial Derivative Date (Month/Day/Year) Derivative if any (Month/Day/Year) Security Code (Instr. or Exercise (Instr. 3) Price of Derivative 8) Securities Underlying Derivative (Instr. 5) Beneficially Direct (D) Ownership or Indirect (I) (Instr. 4) Acquired Owned (Instr. 4) (A) or Disposed Security (Instr. 3 and 4) Security Following Reported of (D) Transaction(s) (Instr. 3. 4 (Instr. 4) and 5) Amount Number Expiration Date Date Exercisable Title Shares Code v (A) (D) 1. Name and Address of Reporting Person* MAY PETER W (Last) (First) (Middle) 223 SUNSET AVENUE SUITE 223 (Street) PALM BEACH FL 33480 (State) (Citv) (Zip) 1. Name and Address of Reporting Person* TRIAN FUND MANAGEMENT, L.P. (Last) (First) (Middle) 280 PARK AVENUE, 41ST FLOOR 41ST FLOOR (Street) 10017 NEW YORK NY (City) (State) (Zip) Explanation of Responses: 1. Represents a distribution of shares to the limited partner in the parent entity of a Trian Entity in connection with the winding down of such Trian Entity (as defined below).

2. Trian Fund Management, L.P. ("Trian Management") serves as the management company for Trian Partners, L.P., Trian Partners Master Fund, L.P., Trian Partners Parallel Fund I, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Investment Fund-D, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Fund-G

II, L.P., Trian Partners Strategic Fund-G III, L.P. and Trian Partners Strategic Fund-C, Ltd. (collectively, the "Trian Entities") and as such determines the investment and voting decisions of the Trian Entities with respect to the shares of the Issuer held by them. Mr. May is a member of Trian Fund Management GP, LLC, which is the general partner of Trian Management, and therefore is in a position to determine the investment and voting decisions made by Trian Management on its own behalf and on behalf of the Trian Entities.

3. (FN2, contd.) Accordingly, Mr. May may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Exchange Act of 1934) the shares beneficially owned by Trian Management and the Trian Entities and Trian Management may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Act of 1934) the shares beneficially owned by the Trian Entities. The Reporting Persons disclaim beneficial ownership of such shares except to the extent of their respective pecuniary interests therein and this report shall not be deemed an admission that the Reporting Persons are the beneficial owner of such securities for purposes of Section 16 or for any other purpose. Mr. May is a director of the Issuer.

Stacey L. Sayetta, Attorneyin-Fact for Peter W. May 05/28/2021

Stacey L. Sayetta, Attorney-
in-Fact for Peter W. May,
member of the general partner05/28/2021
05/28/2021
05/28/2021of Trian Fund Management,
L.P.

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.