# SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287			
Estimated average bu	rden			
hours per response:	0.5			

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Perso MAY PETER W	2. Issuer Name <b>a</b> Mondelez Ir			0,		5. Relationship of Reporting Person(s) to Iss (Check all applicable)				
(Last) (First) 223 SUNSET AVENUE	(Middle)	3. Date of Earliest 02/12/2021	t Transa	ction (	(Month/Day/Ye		X Director Officer (give t below)	itle Ot	% Owner her (specify low)	
SUITE 223	4. If Amendment,	Date of	Origin	nal Filed (Mont		6. Individual or Joint/Group Filing (Check Applicable				
(Street) PALM BEACH FL						Lir	Form filed by	One Reporting More than One		
(City) (State)	(Zip)									
Tabl	e I - Non-Deriva	tive Securities	s Acqu	uired	l, Dispose	d of, d	or Benefici	ally Owned		
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 8)			4. Securities Disposed Of	Acquire (D) (Inst	d (A) or r. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Class A Common Stock	02/12/2021		S <sup>(1)</sup>		129,290	D	\$55.5767 <sup>(2</sup>	10,724,542	I	Please see explanation below <sup>(3)(4)</sup>
Class A Common Stock	02/16/2021		S <sup>(1)</sup>		56,234	D	\$55.0113 <sup>(5</sup>	10,668,308	I	Please see explanation below <sup>(3)(4)</sup>

Class A Common Stock	02/17/2021		<b>S</b> <sup>(1)</sup>		315,425	D	\$55.0192 <sup>(6)</sup>	10,352,883	I	Please see explanation below <sup>(3)(4)</sup>
Class A Common Stock								12,866	D	
Table II. Derivative Securities Acquired Dispessed of an Republically Owned										

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puis, cais, warrants, options, convertible securities)									)	-					
1. Title of Derivative Security (Instr. 3)		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of		amount of Derivative Security (Instr. 5) Derivative Security (		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

1. Name and Address of Reporting  $\operatorname{\mathsf{Person}}^*$ 

MAY PETER W

(Last)	(First)	(Middle)
223 SUNSET AV	ENUE	
SUITE 223		
(Street)		
PALM BEACH	FL	33480
7		
(City)	(State)	(Zip)
1. Name and Address	of Reporting Person*	
TRIAN FUNE	<u>MANAGEMEN</u>	<u>NT, L.P.</u>
(1.001)	(First)	
(Last)	(First)	(Middle)
280 PARK AVEN	UE, 41ST FLOOR	
41ST FLOOR		

(Street)

NEW YORK	NY	10017
(City)	(State)	(Zip)

#### Explanation of Responses:

1. The sales reported in this Form 4 were done for portfolio management purposes.

2. The price shown in Column 4 is a weighted average sale price. The price range for the sale is \$55.50 - \$55.65. The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

3. Trian Fund Management, L.P. ("Trian Management") serves as the management company for Trian Partners, L.P., Trian Partners Master Fund, L.P., Trian Partners Parallel Fund I, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Investment Fund-N, L.P., Trian Partners Fund (Sub)-G, L.P., Trian Partners Strategic Fund-G II, L.P., Trian Partners Strategic Fund-G III, L.P., Trian Partners Strategic Fund-G III, L.P., Trian Partners Strategic Investment Fund-N, L.P., Trian Partners Strategic Fund-G III, L.P., Trian Partners Strategic Fund-G III, L.P., Trian Partners Strategic Investment and voting decisions of the Trian Entities") and as such determines the investment and voting decisions of the Trian Entities with respect to the shares of Mondelez International, Inc. held by them. Mr. May is a member of Trian Fund Management OP, LLC, which is the general partner of Trian Management, and therefore is in a position to determine the investment and voting decisions made by Trian Management on its own behalf and on behalf of the Trian Entities.

4. (FN3, contd.) Accordingly, Mr. May may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Exchange Act of 1934) the shares beneficially owned by Trian Management and the Trian Entities and Trian Management may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Act of 1934) the shares beneficially owned by the Trian Entities. The Reporting Persons disclaim beneficial ownership of such shares except to the extent of their respective pecuniary interests therein and this report shall not be deemed an admission that the Reporting Persons are the beneficial owner of such securities of purposes of Section 16 or for any other purpose. Mr. May is a director of the Issuer.

5. The price shown in Column 4 is a weighted average sale price. The price range for the sale is \$55.00 - \$55.0750. The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

6. The price shown in Column 4 is a weighted average sale price. The price range for the sale is \$55.00 - \$55.065. The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

#### **Remarks:**

Stacey L. Sayetta, Attorneyin-Fact for Peter W. May 02/17/2021

Stacey L. Sayetta, Attorney-

in-Fact for Peter W. May, member of the general partner 02/17/2021 of Trian Fund Management,

<u>L.P.</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.