SEC Form 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

explanation below⁽³⁾⁽⁴⁾

> 11. Nature of Indirect Beneficial Ownership (Instr. 4)

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to Section 16. Form 4 or Form 5					NT OF CHANGES IN BENEFICIAL OWNERSHIP													-0287		
obligations may continue. See Instruction 1(b). File						pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940											hours per response: 0.			
1. Name and Address of Reporting Person* <u>MAY PETER W</u> (Last) (First) (Middle) 223 SUNSET AVENUE						2. Issuer Name and Ticker or Trading Symbol 5. Relationship of (Check all applica X Director)										,				
																r (give title Other (specify) below)				
SUITE 223 (Street) PALM BEACH FL 33480						Line) Form file										oint/Group Filing (Check Applicable ad by One Reporting Person ad by More than One Reporting				
(City)	(St	· · ·	Zip)																	
Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transactic Date (Month/Day/				on	2A. De Execu if any	A. Deemed kecution Date, any		3. Transaction Code (Instr.		4. Securities Acqu		ed (A) or	r 5. Amou and Securitie Benefici	5. Amount of		6. Ownership Form: Direct (D) or Indirect		7. Nature of Indirect Beneficial Ownership		
						(MOIL	lonth/Day/Year)		8) Code	v	Amount	(A) or (D) Price		Reporte Transac	Reported Transaction(s) (Instr. 3 and 4)		(I) (Instr. 4))	
Class A Common Stock 05/1				5/19/20)21				A		3,048(1)	A	\$0.0	00 15,9	15,983 ⁽²⁾		D		_	
Class A Common Stock													9,37	9,370,832		I		Please see explanation below ⁽³⁾⁽⁴⁾		
		Tal	ble II - D	erivati	ive S	ecuri alls.	ities /	Acquants	uired	, Dis ons.	posed of, convertib	or Be	nefic	ially Owne	ed					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)			action (Instr.			f 6. Date Exe Expiration (Month/Day			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5) tr.	derivat Securit Benefie Owned Follow Report	ive ies cially ing ed ction(s)	10. Owners Form: Direct or India (I) (Inst	ship of Be (D) Ov rect (In	Benefic Owners (Instr. 4	
					Code	v	(A)	(D)	Date	cisable	Expiration Date	Title	Amour or Numbe of Shares	er						
	nd Address of	Reporting Person [*]									•			-						
(Last) 223 SUN SUITE 2	ISET AVE	(First) NUE	(Midd	le)		_														
(Street) PALM B	BEACH	FL	3348	80		-														
(City)		(State)	(Zip)																	
		Reporting Person*	E <u>NT, L.</u>	. <u>P.</u>																
(Last) 280 PAR 41ST FL	K AVENU	(First) E, 41ST FLOOR	(Midd	le)																
						- 1														

Explanation of Responses:

NY

(State)

(Street) NEW YORK

(City)

1. Grant of deferred stock units under the Issuer's Amended and Restated 2005 Performance Incentive Plan. The deferred stock units are 100% vested, but receipt of the shares is deferred until the sixmonth anniversary of the reporting person's separation from service as a director of Mondelez International, Inc. ("Mondelez International")

2. Total number of shares includes an additional 69 shares acquired through a dividend reinvestment program.

10017

(Zip)

3. Trian Fund Management, L.P. ("Trian Management") serves as the management company for Trian Partners, L.P., Trian Partners Master Fund, L.P., Trian Partners Parallel Fund I, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Investment Fund-D, L.P., Trian Partners Strategic Investment Fund-A, L.P., Trian Partners Strategic Fund-G II, L.P., Trian Partners Strategic Fund-G III, L.P., Trian Partners Fund A, Sage III, Sage IIII, Sage IIII, Sage IIII, Sage

4. (FN3, contd.) Accordingly, Mr. May may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Exchange Act of 1934) the shares beneficially owned by Trian Management and the Trian Entities and Trian Management may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Act of 1934) the shares beneficially owned by the Trian Entities. The Reporting Persons disclaim beneficial ownership of such shares except to the extent of their respective pecuniary interests therein and this report shall not be deemed an admission that the Reporting Persons are the beneficial owner of such securities for purposes of Section 16 or for any other purpose. Mr. May is a director of the Issuer.

Remarks:

Stacey L. Sayetta, Attorney-
in-Fact for Peter W. May05/21/2021Stacey L. Sayetta, Attorney-
in-Fact for Peter W. May,
member of the general partner
of Trian Fund Management,
L.P.05/21/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.